17224
030904
U.S. PTO

22390 U.S. PTO
10/796494
030904

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. _42P18588_____
(maximum 12 characters)
First Named Inventor ___Rothman et al._____

Title: ___SYSTEM AND METHOD TO IMPLEMENT A ROLLBACK MECHANISM FOR A DATA STORGE UNIT___

Express Mail Label No. ___EV320120093US_____

ADDRESS TO:	**Mail Stop Patent Application** **Commissioner for Patents** **P.O. Box 1450** **Alexandria, Virginia 22313-1450**

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. __X__ **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original and a duplicate for fee processing)

2. _____ Applicant Claims Small Entity Status. (37 CFR 1.27)

3. __X__ **Specification** **(Total Pages _34_)**
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table,
  or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. __X__ **Drawings(s) (35 USC 113)** **(Total Sheets _9_)**

5. __X__ **Oath or Declaration** **(Total Pages _5_)**

    a. __X__ **Newly Executed (Original or Copy)**

    b. ____ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 18 completed)

        i. ___ DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

    c. ____ Unsigned.

6. _____ Application Data Sheet. (37 CFR 1.76)

7. _____ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. _____ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
    a. ____ Computer Readable Form (CRF)

    b. ____ Specification Sequence Listing on:
        i. _____CD-ROM or CD-R (2 copies); or
        ii. _____ paper
    c. ____ Statements verifying identity of above copies

Based on Form PTO/SB/05 (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/12/03.

ACCOMPANYING APPLICATION PARTS

9. __X__   **Assignment Papers (cover sheet & documents(s))**

10. _____   a.   Separate 37 CFR 3.73(b) Statement (where there is an assignee)

     __X__   **b.**   **Power of Attorney**

11. _____   English Translation Document (if applicable)

12. _____   a.   Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)

     _____   b.   Copies of IDS Citations

13. _____   Preliminary Amendment

14. __X__   **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**

15. _____   Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. _____   Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). <u>Applicant must attach form PTO/SB/35 or its equivalent.</u>

17A. _____   Claim for Foreign Priority

17B. __X__   **Other:**   <u>**separate sheet with title, express mail label number, copy of postcard, and attorney's signature**</u>

17C. __X__   **Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.**

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18.   If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below <u>and in the first sentence of the specification following the title (e.g., by way of preliminary amendment)</u>, or in an Application Data Sheet Under 37 C.F.R. 1.76:

     _____ Continuation   _____ Divisional   _____ Continuation-in-part (CIP)

Of Prior Application No.: _____ Examiner _____ Group Art Unit _____
(which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____,
which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

---

19.   Correspondence Address
_____   Customer Number or Bar Code Label
         or   (Insert Customer No. or Attach Bar Code Label here)
__X__   Correspondence Address Below
NAME   <u>Cory G. Claassen</u>
          <u>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP</u>
ADDRESS   <u>12400 Wilshire Boulevard</u>
          <u>Seventh Floor</u>
CITY <u>Los Angeles</u>   STATE <u>California</u>   ZIP CODE <u>90025</u>
Country <u>U.S.A.</u>   TELEPHONE <u>(206) 292-8600</u>   FAX <u>(206) 292-8606</u>

Name (PRINT/TYPE): <u>Cory G. Claassen</u>   Registration No.: <u>50,296</u>

Signature: _____   Date: <u>March 9, 2004</u>

-2-

FEE TRANSMITTAL FOR FY 2004
(FY 2004 Begins 10/01/2003)
TOTAL AMOUNT OF PAYMENT ($) ___1,302.00___

Complete if Known:
Application No. ___New Patent Application___
Filing Date ___Herewith___
First Named Inventor ___Rothman et al.___
Examiner Name ___Not yet assigned___
Art Unit ___Not yet assigned___
Attorney Docket No. ___42P18588___

_____ Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

___X___ Check _____ Credit Card _____ Money Order _____ Other _____ None

___X___ Deposit Account
  Deposit Account Number : ___02-2666___
  Deposit Account Name: _____

___X___ The Director is Authorized to do the following with respect to the above-identified Deposit Account:
  _____ Charge fee(s) indicated below.
  ___X___ Credit any overpayments.
  ___X___ Charge any additional fees during the pendency of this application.
  ___X___ Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.
  _____ Charge fee(s) indicated below **except for the filing fee.**

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1001	770	2001	385	Utility application filing fee	770
1002	340	2002	170	Design application filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional application filing fee	

SUBTOTAL (1) $___770___

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims ___33___ − 20** =	13	X ___18___ =	234
Independent Claims ___6___ − 3** =	3	X ___86___ =	258
Multiple Dependent			=

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) $___492___

Based on Form PTO/SB/17 (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/30/03.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee ($)	Fee Code	Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385	For filing a submission after final rejection (see 37 CFR 1.129(a))	
1814	110	2814	55	Statutory Disclaimer	
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____ _____

Other fee (specify) _____ _____

SUBTOTAL (3) $__40____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Cory G. Claassen

Signature: _____ Date: March 9, 2004

Reg. Number: 50,296 Telephone Number: (206) 292-8600

Send to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Based on Form PTO/SB/17 (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/30/03.

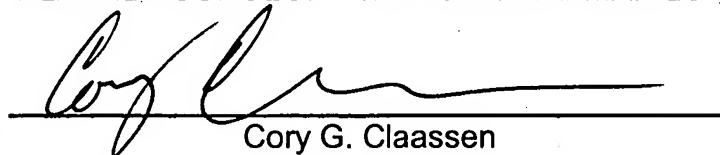Our Reference: 42P18588   *Patent*

SYSTEM AND METHOD TO IMPLEMENT A ROLLBACK MECHANISM FOR A DATA STORAGE UNIT

Inventors: Michael A. Rothman and Vincent J. Zimmer

Respectfully submitted,

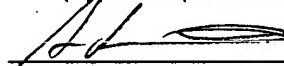BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Cory G. Claassen
Reg. No. 50,296

"Express Mail" mailing label number: EV320120093US

Date of Deposit: March 9, 2004

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Adrian Villarreal

(Typed or printed name of person mailing paper or fee)

March 9, 2004

(Signature of person mailing paper or fee)   (Date signed)

Serial/Patent No.: **New Patent Application** Filing/Issue Date: **Herewith**

Client: **Intel Corporation**

Title: **SYSTEM AND METHOD TO IMPLEMENT A ROLLBACK MECHANISM FOR A DATA STORAGE UNIT**

BSTZ File No.: **42P18588**   Atty/Secty Initials: **CGC/av**

Date Mailed: **March 9, 2004**   Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (_____ pgs.)
- ☐ Appeal Brief (_____ pgs.) (in triplicate)
- ☑ Application - Utility (**34** pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (_____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (_____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (_____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (_____ pgs.)
- ☐ Application - Design (_____ pgs.)
- ☐ Application - PCT (_____ pgs.)
- ☐ Application - Provisional (_____ pgs.)
- ☑ Assignment and Cover Sheet
- ☑ Certificate of Mailing
- ☑ Declaration & POA (**5** pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (_____ pgs.)
- ☑ Drawings: **9** # of sheets includes **9** figures

- ☑ Express Mail No.: **EV320120093US** Check No. **3399**
- ☐ _____ Month(s) Extension of Time   Amt: **$1302.00**
- ☐ Information Disclosure Statement & PTO-1449 (_____ pgs.) ☐ Check No. _____
- ☐ Issue Fee Transmittal   Amt: _____
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☑ Postcard
- ☐ Power of Attorney (_____ pgs.)
- ☐ Preliminary Amendment (_____ pgs.)
- ☐ Reply Brief (_____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☑ Transmittal Letter, in duplicate
- ☑ Fee Transmittal, in duplicate

☑ Other: **Certificate of Mailing with copy of return postcard signed by the attorney**